

IRIF

12 March 2025

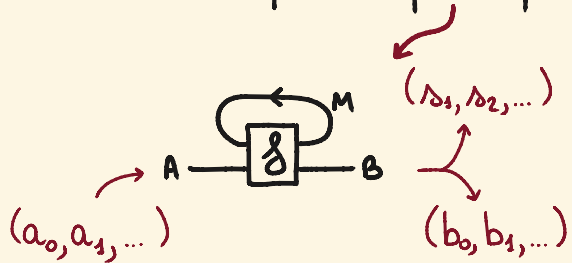
EFFECTFUL STREAMS FOR DATAFLOW PROGRAMMING

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MOTIVATION

Semantics of dataflow programs with effects.



probability
non-determinism
shared memory
⋮

[EDL, Giovanni de Zelice and Mario Román

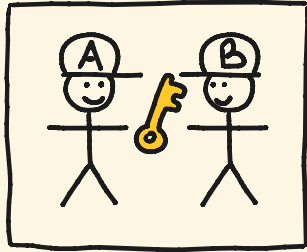
Monoidal streams for dataflow programming (2022) UCS]

[Filippo Bonchi, EDL, Mario Román

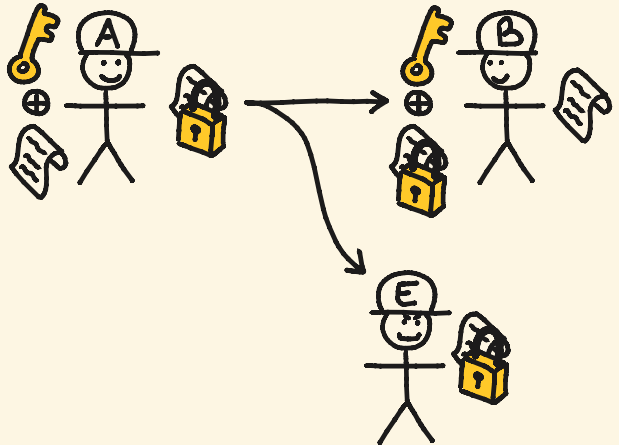
Effectful Mealy machines: bisimulation and trace (2024) preprint]

ONE-TIME PAD PROTOCOL

1. share a key through a secure channel



2. send an encrypted message through a public channel



REPEATING THE ONE-TIME PAD

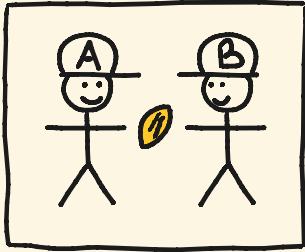
Sending n messages securely requires n private keys
↳ not very useful

- ⇒ • privately share a seed 🍀
- use identical pseudorandom number generator to obtain a new key for each message



STREAM CIPHER PROTOCOL (1)

1. share a seed through a secure channel

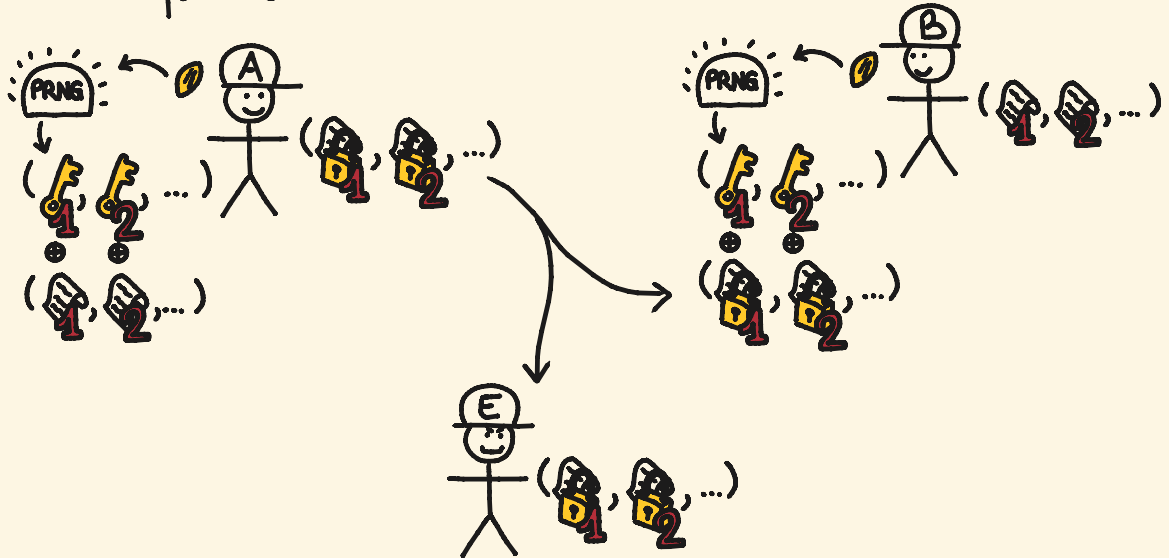


2. share a pseudorandom number generator



STREAM CIPHER PROTOCOL (2)

3. send a stream of encrypted messages through a public channel



STREAM CIPHER PROTOCOL

$\text{alice}^{\circ}(m) = \text{do}$

$\left[\begin{array}{l} \text{seedgen}() \rightsquigarrow () \\ \text{get}_A() \rightsquigarrow s \\ \text{prng}(s) \rightarrow (k, s') \\ \text{return}(s', k \oplus m) \end{array} \right.$

$\text{alice}^{+}(s, m) = \text{do}$

$\left[\begin{array}{l} \text{prng}(s) \rightarrow (k, s') \\ \text{return}(s', k \oplus m) \end{array} \right.$

$\text{alice}^{++} = \text{alice}^{+}$

$\text{bob}^{\circ}(m) = \text{do}$

$\left[\begin{array}{l} \text{get}_B() \rightsquigarrow s \\ \text{prng}(s) \rightarrow (k, s') \\ \text{return}(s', k \oplus m) \end{array} \right.$

$\text{bob}^{+}(s, m) = \text{do}$

$\left[\begin{array}{l} \text{prng}(s) \rightarrow (k, s') \\ \text{return}(s', k \oplus m) \end{array} \right.$

$\text{bob}^{++} = \text{bob}^{+}$

$\text{cipher}^{\circ}(m) = \text{do}$

$\left[\begin{array}{l} \text{alice}^{\circ}(m) \rightsquigarrow (s, m') \\ \text{bob}^{\circ}(m') \rightsquigarrow (s', m'') \\ \text{return}(s, s', m', m'') \end{array} \right.$

$\text{cipher}^{+}(s_0, s'_0, m) = \text{do}$

$\left[\begin{array}{l} \text{alice}^{+}(s_0, m) \rightsquigarrow (s, m') \\ \text{bob}^{+}(s'_0, m') \rightsquigarrow (s', m'') \\ \text{return}(s, s', m', m'') \end{array} \right.$

$\text{cipher}^{++} = \text{cipher}^{+}$

COINDUCTION

Streams are coinductive.

$$\text{Stream } A = A \times \text{Stream } A$$

coalgebraic semantics.

$$\begin{array}{ccc} M & \longrightarrow & (M \times B)^A \\ \downarrow & & \downarrow \\ \Omega & \longrightarrow & (\Omega \times B)^A \end{array}$$

VS

FEEDBACK

Feedback (pre)monoidal categories.

$$(M \mid f: M \otimes A \rightarrow M \otimes B)$$

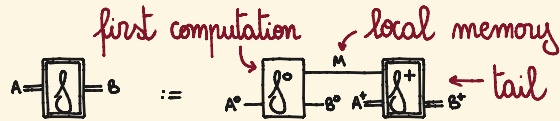


Sequential and parallel compositions.

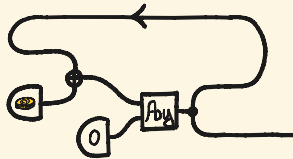
EFFECTFUL STREAMS: COINDUCTION AND FEEDBACK

Semantics of effectful reactive programs

- formal
- coinductive



- compositional



a random walk.

- effect agnostic

Stream: $\text{EffCat} \rightarrow \text{EffCat}$

OUTLINE

[• effectful categories]

- effectful streams

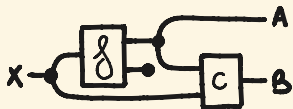
- causal processes

- Mealy machines, bisimulation and traces

STRING DIAGRAMS & DO-NOTATION

- Symmetric monoidal categories are theories of processes
- String diagrams and do-notation are convenient syntax

$$\nu_x ; ((f ; (\nu_A \otimes \varepsilon_B)) \otimes \mathbb{1}_x) ; (\mathbb{1}_A \otimes c)$$



$\text{cond}(x) = \text{do}$
 $\left| \begin{array}{l} f(x) \rightarrow (a, b) \\ c(a, x) \rightarrow b' \\ \text{return}(a, b') \end{array} \right.$

STRING DIAGRAMS & DO-NOTATION

$$f: A \rightarrow B, \quad g: B \rightarrow C$$

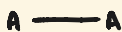


• Composition. $f; g: A \rightarrow C$



$\text{comp}_{fg}(a) = \text{do}$
 $\left| \begin{array}{l} f(a) \rightsquigarrow b \\ g(b) \rightsquigarrow c \\ \text{return}(c) \end{array} \right.$

• Identity. $\text{id}_A: A \rightarrow A$



$\text{id}_A(a) = \text{do}$
 $\left| \text{return}(a) \right.$

• Whiskering. $w_c f: A \otimes C \rightarrow B \otimes C$



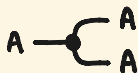
$\text{wh}_{fc}(a, c) = \text{do}$
 $\left| \begin{array}{l} f(a) \rightsquigarrow b \\ \text{return}(b, c) \end{array} \right.$

• Symmetries. $\sigma_{A,B}: A \otimes B \rightarrow B \otimes A$



$\text{sym}_{AB}(a, b) = \text{do}$
 $\left| \text{return}(b, a) \right.$

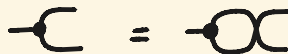
COPY AND DISCARD



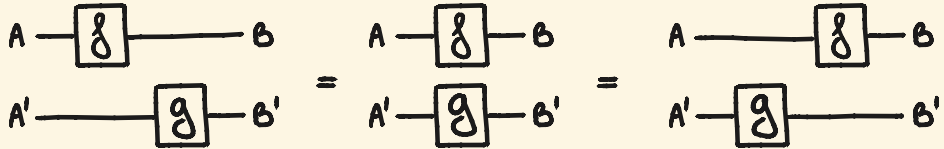
copy(a) = do
 | return(a, a)



discard(a) = do
 | return()



THE INTERCHANGE LAW



par $f, g(a, a') = \text{do}$
 $\begin{cases} f(a) \rightarrow b \\ g(a') \rightarrow b' \end{cases}$
 $\text{return}(b, b')$

=

par $f, g(a, a') = \text{do}$
 $\begin{cases} g(a') \rightarrow b' \\ f(a) \rightarrow b \end{cases}$
 $\text{return}(b, b')$

$\Rightarrow$ holds in monoidal categories

COMPUTATIONS WITH EFFECTS

- Stochastic effects: generating the seed

$\mathcal{D}: \text{Set} \rightarrow \text{Set}$ distribution monad

$$\mathcal{D}(A) := \{ \sigma : A \rightarrow [0,1] \mid \text{supp } \sigma \text{ is finite} \wedge \sum_{a \in A} \sigma(a) = 1 \}$$

- Global state: sharing the seed

$\text{State}_S: \mathcal{L}^\infty \mathcal{C} \rightarrow \text{Set}$ state promonad

$$\text{State}_S(A, B) := \mathcal{C}(S \otimes A, S \otimes B)$$



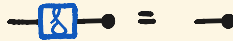
VALUES

Values are both :

- deterministic



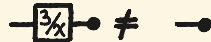
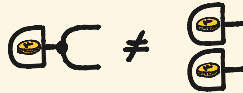
- total



ex $(3. -) : \mathbb{R} \rightarrow \mathbb{R}$

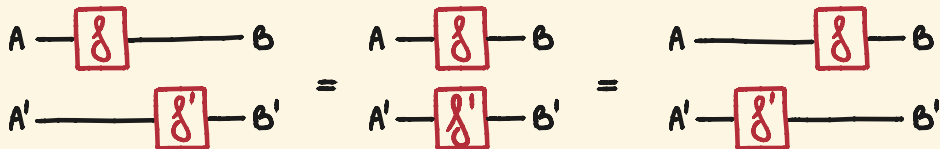
Non-ex Flip : $1 \rightarrow \mathcal{D}(\{H, T\})$

$(3/-) : \mathbb{R} \rightarrow \mathbb{R}$



LOCAL COMPUTATIONS

local computations interchange,



local $F(a, a') = \text{do}$
 $\left| \begin{array}{l} f(a) \rightarrow b \\ f'(a') \rightarrow b' \end{array} \right.$
 $\text{return}(b, b')$

$=$ local $F(a, a') = \text{do}$
 $\left| \begin{array}{l} f'(a') \rightarrow b' \\ f(a) \rightarrow b \end{array} \right.$
 $\text{return}(b, b')$

ex Stoch



EFFECTFUL COMPUTATIONS

Effectful computations may have global effects.



`printH1() = do`

`'h'() → c1`
`'i'() → c2`
`print(c1) ~> ()`
`print(c2) ~> ()`
`return()`

$\neq$

`printH2() = do`

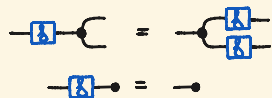
`'h'() → c1`
`'i'() → c2`
`print(c2) ~> ()`
`print(c1) ~> ()`
`return()`

ex state monads, IO monad

[Peters and Robinson (1997)]

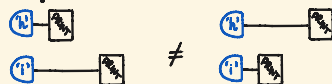
EFFECTFUL COPY-DISCARD CATEGORIES

Values can be
copied and discarded
(cartesian)

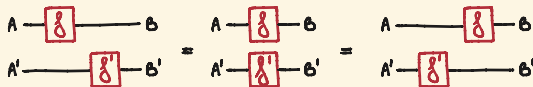


Effectful computations
may have global effects
(premonoidal)

$$\mathcal{V} \rightarrow \mathcal{L} \rightarrow \mathcal{C}$$



Local computations interchange
(monoidal)



ex (Set, Stoch, State_S)

(cart($\mathcal{C}$), $\mathcal{Z}(\mathcal{C})$, $\mathcal{C}$) for a cb-premonoidal $\mathcal{C}$

[Jeffrey (1997), cf. Levy (2022), Power and Thielecke (1997)]

OUTLINE

- effectful categories
- [• effectful streams]
- causal processes
- Mealy machines, bisimulation and traces

STREAMS ARE COINDUCTIVE

A stream of elements of A is

- an element $a^0 \in A$
- a stream a^+ of elements of A

⇒ the set of streams is the final coalgebra of the functor

$$A \times (-) : \mathbf{Set} \rightarrow \mathbf{Set}$$

EFFECTFUL STREAMS

An effectful stream $F: A \rightarrow B$ on $(\mathcal{U}, \mathcal{L}, \mathcal{C})$ is

- a memory $M_g \in \mathcal{L}$
- a first action $g^0: A^0 \rightarrow M_g \otimes B^0$ in $\mathcal{C}$
- the rest of the action $F^+: M_g \cdot A^+ \rightarrow B^+$

$$A - \boxed{F} - B = A^0 - \boxed{g^0} - B^0 \xrightarrow{M_g} A^+ - \boxed{F^+} - B^+$$

quotiented by sliding

$$\begin{cases} g^0; (\pi \otimes 1) = g^0 \\ F^+ = \pi \cdot g^+ \end{cases}$$

for $\pi: M_g \rightarrow M_g$ in $\mathcal{L}$

$$- \boxed{g^0} - \boxed{F^+} - = - \boxed{g^0} - \boxed{\pi} - \boxed{F^+} - \sim - \boxed{g^0} - \boxed{\pi} - \boxed{F^+} - = - \boxed{g^0} - \boxed{g^+} -$$

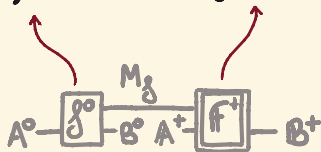
EFFECTFUL STREAMS

The profunctor Stream : $\mathcal{L}^{N^{\text{op}}} \times \mathcal{L}^N \rightarrow \text{Set}$ is the final coalgebra of the functor

$$F : [\mathcal{L}^{N^{\text{op}}} \times \mathcal{L}^N, \text{Set}] \rightarrow [\mathcal{L}^{N^{\text{op}}} \times \mathcal{L}^N, \text{Set}]$$

$$F(Q)(A, B) := \int^{M \in \mathcal{L}} \mathcal{L}(A^{\circ}, M \otimes B^{\circ}) \times Q(M \cdot A^+, B^+)$$

quotient by sliding on the memory



POLYA URN

consider (Set, Stoch, Stoch).

$$\text{polya} : \mathbb{I} \rightarrow \mathbb{B}$$

$$\mapsto \frac{\mathbb{I}^0}{\mathbb{B}^0} = \frac{\mathbb{I}}{\text{Bool}}, \quad \frac{\mathbb{I}^1}{\mathbb{B}^+} = \frac{\mathbb{I}}{\mathbb{B}}$$

$$\text{polya}^0() = \text{do}$$

$$\left| \begin{array}{l} \text{Be}(\frac{b_0}{w_0 + b_0}) \rightarrow x \\ \text{return } (w_0 + 1 - x, b_0 + x, x) \end{array} \right.$$

$$\left| \begin{array}{l} \text{first action} \\ 1 \rightarrow \mathbb{N} \times \mathbb{N} \times \text{Bool} \end{array} \right.$$

memory: $\mathbb{N} \times \mathbb{N}$

$$\text{polya}^{+0}(w, b) = \text{do}$$

$$\left| \begin{array}{l} \text{Be}(\frac{b}{w + b}) \rightarrow x \\ \text{return } (w + 1 - x, b + x, x) \end{array} \right.$$

$$\left| \begin{array}{l} \text{tail, defined coinductively} \\ (\mathbb{N} \times \mathbb{N}) \cdot \mathbb{I} \rightarrow \mathbb{B} \end{array} \right.$$

$$\text{polya}^{++} = \text{polya}^+$$

SEMANTICS OF STREAM CIPHER

consider (Set , Stoch , StateStoch),

where $\text{StateStoch}(A, B) := \text{Stoch}(S \otimes S \otimes A, S \otimes S \otimes B)$.

Fix $S = \mathbb{N}$, $C = \text{Bool}^*$.

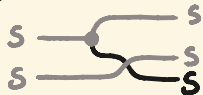
$$\oplus : C \otimes C \rightarrow C$$

$\rightsquigarrow$ bitwise xor

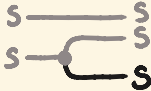
$$\text{prng} : S \rightarrow S \otimes C$$

$\rightsquigarrow$ pseudorandom number generator

$$\text{get}_A : I \rightsquigarrow S$$



$$\text{get}_B : I \rightsquigarrow S$$



$$\text{seedgen} : I \rightsquigarrow I$$



STREAM CIPHER PROTOCOL

$\text{alice}^{\circ}(m) = \text{do}$

$\begin{cases} \text{seedgen}() \rightsquigarrow () \\ \text{get}_A() \rightsquigarrow s \\ \text{prng}(s) \rightarrow (k, s') \\ \text{return}(s', k \oplus m) \end{cases}$

$\text{alice}^{+}(s, m) = \text{do}$

$\begin{cases} \text{prng}(s) \rightarrow (k, s') \\ \text{return}(s', k \oplus m) \end{cases}$

$\text{alice}^{++} = \text{alice}^{+}$

$\text{bob}^{\circ}(m) = \text{do}$

$\begin{cases} \text{get}_B() \rightsquigarrow s \\ \text{prng}(s) \rightarrow (k, s') \\ \text{return}(s', k \oplus m) \end{cases}$

$\text{bob}^{+}(s, m) = \text{do}$

$\begin{cases} \text{prng}(s) \rightarrow (k, s') \\ \text{return}(s', k \oplus m) \end{cases}$

$\text{bob}^{++} = \text{bob}^{+}$

$\text{cipher}^{\circ}(m) = \text{do}$

$\begin{cases} \text{alice}^{\circ}(m) \rightsquigarrow (s, m') \\ \text{bob}^{\circ}(m') \rightsquigarrow (s', m'') \\ \text{return}(s, s', m', m'') \end{cases}$

$\text{cipher}^{+}(s_0, s'_0, m) = \text{do}$

$\begin{cases} \text{alice}^{+}(s_0, m) \rightsquigarrow (s, m') \\ \text{bob}^{+}(s'_0, m') \rightsquigarrow (s', m'') \\ \text{return}(s, s', m', m'') \end{cases}$

$\text{cipher}^{++} = \text{cipher}^{+}$

COMPOSITIONAL STRUCTURE OF STREAMS

THEOREM

Effectful streams form an effectful category Stream.

- composition and monoidal actions are defined coinductively:
for $f: N_f \cdot A \rightarrow B$ and $g: N_g \cdot B \rightarrow C$,

$$\begin{cases} (f;_N g)^\circ := \text{diagram} \\ (f;_N g)^+ := f^+;_M g^+ \end{cases}$$

The diagram for $(f;_N g)^\circ$ shows two boxes representing functions f and g . The first box f has input A° and output B° . The second box g has input B° and output C° . The output of f is connected to the input of g . The overall input is A° and the overall output is C° . The boxes are labeled f° and g° respectively.

$$\begin{cases} (X \otimes_N f)^\circ := \text{diagram} \\ (X \otimes_N f)^+ := X^+ \otimes_M f^+ \end{cases}$$

The diagram for $(X \otimes_N f)^\circ$ shows a box representing function f . The input is A° and the output is B° . The box is labeled f° . The overall input is X° and the overall output is X° .

FEEDBACK ON EFFECTFUL STREAMS

∂ : Stream $\rightarrow$ Stream

$\partial(A) := (I, A^0, A^1, \dots)$

THEOREM

Stream has ∂ -feedback.

- feedback is defined coinductively

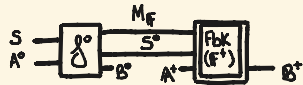
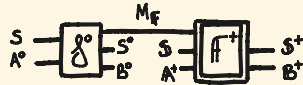
$$F : (S \cdot \partial S) \otimes A \rightarrow S \otimes B$$

$$\text{Fbk}_S F : S \cdot A \rightarrow B$$

$$M(\text{Fbk}_S^S F) := M(F) \otimes S^0$$

$$(\text{Fbk}_S^S F)^0 := \text{f}^0$$

$$(\text{Fbk}_S^S F)^+ := \text{Fbk}_{S^+}^S(F^+)$$



OUTLINE

- effectful categories
- effectful streams
- [• causal processes]
- Mealy machines, bisimulation and traces

STREAM COMPUTATIONS

- Sliding equivalence might be difficult to handle
- Causal stream functions are old :

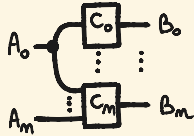
[Ramey (1958)] shows that they are
the executions of deterministic Mealy machines

⇒ is there a similar explicit form for effectful streams ?

CAUSAL STREAM FUNCTIONS

Stream computations $(c_m)_{m \in \mathbb{N}} : A \rightarrow B$ in a cartesian category are families $c_m : A_0 \times \dots \times A_m \rightarrow B_m$.

$\leadsto c_m(a_0, \dots, a_m) \in B_m$ is the output at time m



reconstructs the outputs until time m

[Sprunger & Katsumata (2019), Uustalu & Vene (2008)]

STOCHASTIC PROCESSES

Stochastic stream computations $(p_m)_{m \in \mathbb{N}} : A \rightarrow B$
are families $p_m : A_0 \times \dots \times A_m \longrightarrow \mathcal{D}(B_0 \times \dots \times B_m)$
such that $p_m(a_0, \dots, a_m) = \sum_{a \in A_{m+1}} p_{m+1}(a_0, \dots, a_m, a)$.

$\leadsto p_m(a_0, \dots, a_m) \in \mathcal{D}(B_0 \times \dots \times B_m)$ is the distribution of
the outputs until time n
 $\hookrightarrow$ the outputs may be correlated

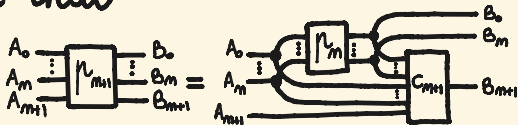
Is there a monoidal version of causal stream functions?

CAUSAL PROCESSES

A causal process $p: A \rightarrow B$ in a copy-discard category $\mathcal{C}$ is a family of morphisms

$$p_m: A_0 \otimes \dots \otimes A_m \rightarrow B_0 \otimes \dots \otimes B_m$$

such that



for some $c_{m+1}: B_0 \otimes \dots \otimes B_m \otimes A_0 \otimes \dots \otimes A_m \otimes A_{m+1} \rightarrow B_{m+1}$

$\leadsto p_m$ determines the input-output behaviour
until time n

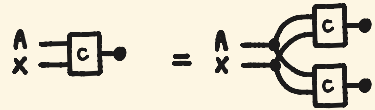
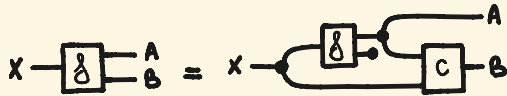
COMPOSING CAUSAL PROCESSES

ℓ copy - discard

CONDITIONALS WITH SHARP DOMAIN

[Cho & Jacobs (2017), Fritz (2020), EDL & Román (2023)]

For all $f: X \rightarrow A \otimes B$ there is $c: A \otimes X \rightarrow B$ st



THEOREM

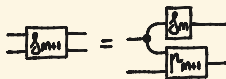
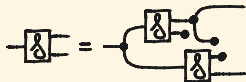
causal processes form a monoidal category Proc when ℓ has conditionals with sharp domain.

CAUSAL PROCESSES : EXAMPLES

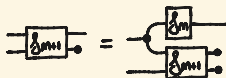
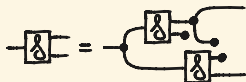
CONDITIONALS

CAUSALITY CONDITION

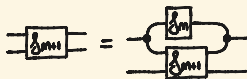
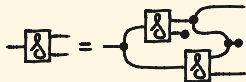
Set



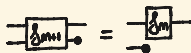
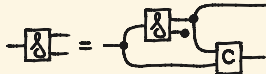
Par



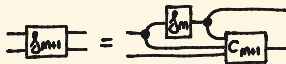
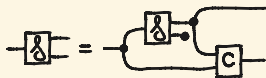
Rel



Stoch



pStoch



CAUSAL PROCESSES ARE STREAMS

ℓ copy - discard

RANGES

For all $m: X \rightarrow A$ there are $\begin{cases} \pi: A \otimes X \rightarrow R & \text{deterministic} \\ i: R \rightarrow A \otimes X & \text{total} \end{cases}$

$$x \begin{array}{c} \text{---} \boxed{m} \text{---} A \\ \text{---} \text{---} x \end{array} = x \begin{array}{c} \text{---} \boxed{m} \text{---} \boxed{\pi} \text{---} \boxed{i} \text{---} A \\ \text{---} \text{---} x \end{array}$$

$$x \begin{array}{c} \text{---} \boxed{m} \text{---} \\ \text{---} \text{---} \end{array} \boxed{c} \text{---} B = x \begin{array}{c} \text{---} \boxed{m} \text{---} \\ \text{---} \text{---} \end{array} \boxed{d} \text{---} B \Rightarrow R \begin{array}{c} \text{---} \boxed{i} \text{---} \boxed{c} \text{---} B \\ \text{---} \text{---} y \end{array} = R \begin{array}{c} \text{---} \boxed{i} \text{---} \boxed{d} \text{---} B \\ \text{---} \text{---} y \end{array}$$

THEOREM

Consider $(\text{fun}\ell, \text{tot}\ell, \ell)$.

If ℓ has quasi-total conditionals and ranges,
 $\text{Proc} \approx \text{Stream}$.

OUTLINE

- effectful categories
- effectful streams
- clausal processes
- [• Mealy machines, bisimulation and traces]

MEALY MACHINES AND COALGEBRAS

Two faces of Mealy machines

$$M \times A \rightarrow \mathcal{D}(M \times B)$$

$$M \rightarrow \mathcal{D}(M \times B)^A$$

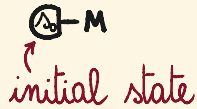
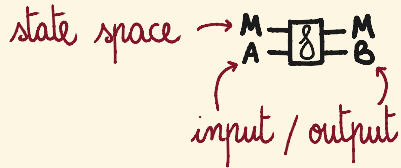
$$M \times A \rightarrow \mathcal{Q}(M \times B)$$

$$M \rightarrow \mathcal{Q}(M \times B)^A$$



MEALY MACHINES

Systems are $f: M \otimes A \rightarrow M \otimes B$ with $s_0: I \rightarrow M$



- native sequential and parallel compositions
- parametric in the underlying process theory
- premonoidal categories for global effects

$\rightsquigarrow$ what is their behaviour?

when are two of them equivalent?

[cf. Katis, Sabadini, Walters 1997]

COALGEBRAIC SEMANTICS

Systems are coalgebras $f: M \rightarrow F(M)$

input/output

$$M \rightarrow (M \times B)^A$$

non-determinism

$$M \rightarrow P(M \times B)$$

- bisimulation is equality in the final coalgebra

⇒ how do these compose?

how to change the underlying process theory?

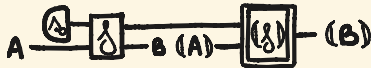
EFFECTFUL TRACE SEMANTICS

Fix a theory of programs.

effectful Mealy machines



effectful streams



free construction
~ syntax



coalgebraic construction
~ semantics

EFFECTFUL MEALY MACHINES

a Mealy machine $(f, M, s_0) : A \rightarrow B$ in $(\mathcal{V}, \mathcal{L}, \mathcal{C})$
is a morphism

$f : M \otimes A \rightarrow M \otimes B$
with an initial state
 $s_0 : I \rightarrow M$

$$\begin{array}{c} M \\ A \end{array} \text{---} \boxed{f} \text{---} \begin{array}{c} M \\ B \end{array}$$

$$\textcircled{s_0} \text{---} M$$

ex $(\text{Set}, \text{Rel}_{\text{TOT}}, \text{Rel})$

$$\begin{cases} f : M \times A \rightarrow \mathcal{P}(M \times B) \\ s_0 \in M \end{cases}$$

ex $(\text{Set}, \text{Stoch}, \text{Stoch})$

$$\begin{cases} f : M \times A \rightarrow \mathcal{D}(M \times B) \\ s_0 \in \mathcal{D}(M) \end{cases}$$

[cf. Katis, Sabadini, Walters (1997); EDL, Giamola, Román, Sabadini, Sobociński (2022)]

MORPHISMS OF MEALY MACHINES

A morphism of Mealy machines $u: (f, M, s_0) \rightarrow (g, N, t_0)$
is a value morphism $u: M \rightarrow N$ in $\mathcal{V}$

such that

$$\begin{array}{c} M \\ A \end{array} \text{---} \boxed{f} \text{---} \boxed{u} \text{---} \begin{array}{c} N \\ B \end{array} = \begin{array}{c} M \\ A \end{array} \text{---} \boxed{u} \text{---} \boxed{g} \text{---} \begin{array}{c} N \\ B \end{array}$$

$$\begin{array}{c} \bigwedge_0 \end{array} \text{---} \boxed{u} \text{---} N = \begin{array}{c} t_0 \end{array} \text{---} N$$

ex (Set, Rel_{tot}, Rel)

$$\forall s \in M \forall a \in A \forall t \in N \forall b \in B$$

$$(t, b) \in g(u(s), a) \Leftrightarrow \exists s' \in M \ u(s') = t \wedge (s', b) \in f(s, a)$$

ex (Set, Stoch, Stoch)

$$\forall s \in M \forall a \in A \forall t \in N \forall b \in B$$

$$g(t, b | u(s), a) = \sum_{s': u(s') = t} f(s', b | s, a)$$

EFFECTFUL CATEGORY OF MEALY MACHINES

Mealy is an effectful category where

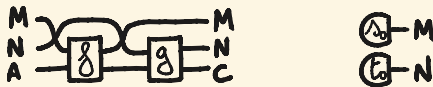
- objects are the objects of $\mathcal{C}$
- morphisms $(f, M, s_0): A \rightarrow B$ are Mealy machines quotiented by **value** isomorphisms $u: M \xrightarrow{\cong} N$

$$\begin{array}{c} M \\ A \end{array} \text{---} \boxed{f} \text{---} \boxed{u} \text{---} \begin{array}{c} N \\ B \end{array} = \begin{array}{c} M \\ A \end{array} \text{---} \boxed{u} \text{---} \boxed{g} \text{---} \begin{array}{c} N \\ B \end{array}$$

$$\begin{array}{c} \bigcirc_{s_0} \end{array} \text{---} \boxed{u} \text{---} N = \begin{array}{c} \bigcirc_{t_0} \end{array} \text{---} N$$

- composition tensors the state spaces

$\leadsto$ local states



MEALY MACHINES ARE FREE

$$\mathcal{S} := \text{pt } \mathcal{C}_{\text{iso}}$$

THEOREM

Mealy is the free pointed-feedback category over $\mathcal{C}$.

$$\text{Mealy}(A, B) = \int^{(\lambda_0, M) \in \text{pt } \mathcal{C}_{\text{iso}}} \mathcal{C}(M \otimes A, M \otimes B)$$



[cf. Katis, Sabadini, Walters (1997); EDL, Gianola, Román, Sabadini, Sobociński (2022)]

COALGEBRAIC BISIMULATION

a bisimulation is a span of coalgebras.

$$\begin{array}{ccccc} M & \xleftarrow{\pi_1} & R & \xrightarrow{\pi_2} & N \\ \downarrow g & & \downarrow \alpha & & \downarrow g \\ F(M) & \xleftarrow{F(\pi_1)} & F(R) & \xrightarrow{F(\pi_2)} & F(N) \end{array}$$

THEOREM [Rutten (2000)]

When $F: \mathbf{Set} \rightarrow \mathbf{Set}$ preserves weak pullbacks,
bisimilarity is an equivalence relation.

[Aczel & Mendler (1989), Rutten (2000)]

BISIMULATION

For two effectful Mealy machines $(f, M, s), (g, N, t) : A \rightarrow B$, a bisimulation is a sequence of spans of morphisms.

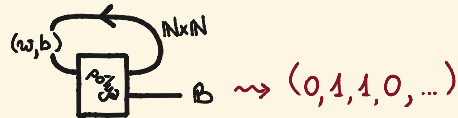
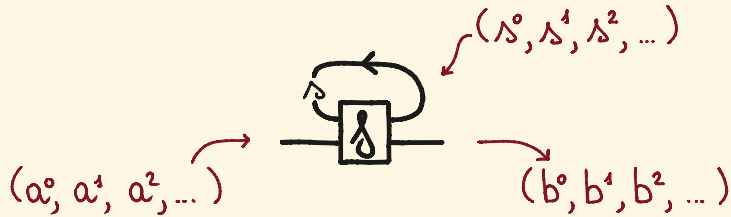
$$(f, M, s) \xleftarrow{u_1} (h_1, R_1, r_1) \xrightarrow{v_1} (f_1, M_1, s_1) \xleftarrow{u_2} \dots (h_m, R_m, r_m) \xrightarrow{v_m} (g, N, t)$$

PROPOSITION

When $\mathcal{C} = \mathbf{Kl}(T)$, for a commutative monad T preserving weak pullbacks, effectful bisimulation coincides with coalgebraic bisimulation.

ex $\mathcal{C} = \mathbf{Set}, \mathbf{Par}, \mathbf{Rel}, \mathbf{cStoch}, \mathbf{pStoch}$

EXECUTING MEALY MACHINES



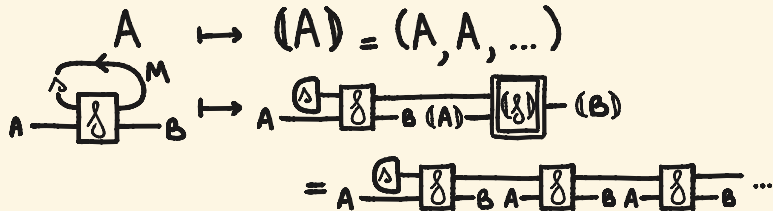
$\rightsquigarrow$ what should the semantic universe be?
when do two Mealy machines have the same executions?

COMPOSITIONAL TRACE SEMANTICS

THEOREM

There is an effectful functor

$\text{Tr} : \text{Mealy} \rightarrow \text{Stream}$



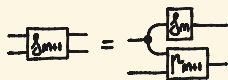
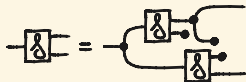
TRACES ARE EFFECTFUL TRACES

CONDITIONALS

CAUSALITY CONDITION

TRACE PREDICATE

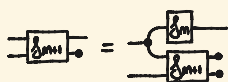
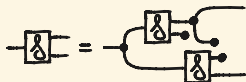
Set



$$\mathcal{S}_0 = \mathcal{S} \wedge \forall i \leq n$$

$$(\mathcal{S}_{i+1}, b_i) = f(\mathcal{S}_i, a_i)$$

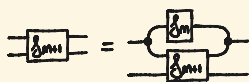
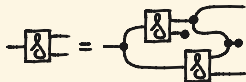
Par



$$\mathcal{S}_0 = \mathcal{S} \wedge \forall i \leq n$$

$$(\mathcal{S}_{i+1}, b_i) = f(\mathcal{S}_i, a_i)$$

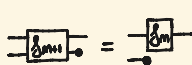
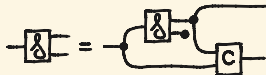
Rel



$$\exists \mathcal{S}_0, \dots, \mathcal{S}_{m+1} \mathcal{S}_0 \in \mathcal{S}$$

$$\wedge \forall i \leq m (\mathcal{S}_{i+1}, b_i) \in f(\mathcal{S}_i, a_i)$$

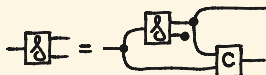
Stoch



$$\sum_{\mathcal{S}_0, \dots, \mathcal{S}_{m+1}} \mathcal{S}(\mathcal{S}_0)$$

$$\cdot \prod_{i \leq m} f(\mathcal{S}_{i+1}, b_i | \mathcal{S}_i, a_i)$$

pStoch



$$\sum_{\mathcal{S}_0, \dots, \mathcal{S}_{m+1}} \mathcal{S}(\mathcal{S}_0)$$

$$\cdot \prod_{i \leq m} f(\mathcal{S}_{i+1}, b_i | \mathcal{S}_i, a_i)$$

TRACE IS UNIVERSAL

THEOREM

The trace functor $\text{Tr} : \text{Mealy} \rightarrow \text{Stream}$ is the unique feedback effectful functor determined by freeness of Mealy.

$$\begin{array}{ccc} & \text{FbEffCat} & \\ \text{Mealy} \begin{pmatrix} \uparrow \\ + \\ \downarrow \end{pmatrix} & \Rightarrow \exists! \text{Tr} : \text{Mealy}_{(V, Z, e)} \longrightarrow \text{Stream}_{(V, Z, e)} & \\ & \text{EffCat} & \\ & \text{Mealy}_{(V, Z, e)} \text{ / bisim} & \end{array}$$

COROLLARY

Bisimilarity implies trace equivalence.

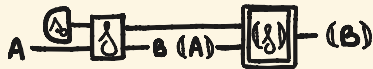
SUMMARY

effectful Mealy machines



↓ trace

effectful streams



$\approx$ causal processes

free construction
~ syntax

↓

coalgebraic construction
~ semantics

FUTURE WORK

- Adding choice, iteration and higher-order
- Coinduction up-to dinaturality
- Distributive law?
- Linear temporal logic
- Behavioural metrics

$$\boxed{g^0} \text{---} \boxed{f^+} = \boxed{g^0} \text{---} \boxed{\pi} \text{---} \boxed{f^+} \sim \boxed{g^0} \text{---} \boxed{\pi} \text{---} \boxed{f^+} = \boxed{g^0} \text{---} \boxed{g^+}$$